

PATENT

"Express Mail" mailing label number EV 327 171 452 US

Date of Deposit April 8, 2004

Attorney Case No. 6298-456

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR UNITED STATES LETTERS PATENT

INVENTORS: SARAH BRUCE London, Ontario, Canada
 JAMES N. SCHMIDT London, Ontario, Canada

TITLE: ANTISTATIC MEDICATION DELIVERY APPARATUS

ATTORNEY: Andrew D. Stover
 Reg. No. 38,629
 BRINKS HOFER GILSON &
 LIONE LTD.
 P.O. Box 10395
 Chicago, Illinois 60610
 (312) 321-4200

ANTISTATIC MEDICATION DELIVERY APPARATUS

This application claims the benefit of U.S. Provisional Application Serial Number 60/463,288, filed April 16, 2003, the entire disclosure of which is hereby incorporated herein by reference.

5 BACKGROUND

The present invention relates to a medication delivery apparatus, and in particular, to an antistatic medication delivery apparatus.

Medication delivery systems are used, in general, to administer medication to a user. For example, aerosol delivery systems are configured to deliver a
10 medication in aerosol form to the lungs of the user. Other systems deliver the medication to the nasal passageways of the user. Some systems use a pressurized metered-dose inhaler (pMDI), which typically includes a container in which medication particles are stored under pressure, and an actuator used to dispense the medication from the container.

15 In other systems, a holding chamber or spacer is connected to one of the container or actuator, as shown for example in U.S. Patent No. 6,293,279, which is hereby incorporated herein by reference. The holding chamber reduces the need for the user to coordinate activation of the pMDI canister with inhalation, helps reduce the delivery of nonrespirable medication particles from the canister, and
20 helps reduce the impaction of medication particles in the user's oropharynx and upper airway. In some configurations, shown for example in the U.S. Patent No. 6,293,279 and U.S. Patent No. 5,881,718, the apparatus can be provided with one or both of an inhalation and exhalation valve(s) at an output end of the chamber. The output end is typically configured with a mouthpiece, which is received in the
25 mouth of the user, or with a mask, which is placed over the mouth and nose of the user.

Often, holding chamber devices are made of various plastics, such as polypropylene or polycarbonates. However, plastic materials typically have relative high surface resistivities, typically greater than 10^{12} ohm/sq. As such,
30 the interior of the chamber can become electrostatically charged, thereby causing

some of the medication particles in the aerosol to deposit on the walls and/or other parts attached to or forming part of the holding chamber. As disclosed in U.S. Patent No. 6,435,176, for example, one solution to this problem is to provide a spacer made of metal or other materials having resistivities below $10E9$ ohm.

5 However, metal spacers or holding chambers, made for example of stainless steel or aluminum, are relatively expensive to manufacture and are heavy and more difficult to handle. In addition, metal spacers or holding chambers do not allow the user or caregiver to visualize the delivery of medication from the chamber. Likewise, many plastics, if formed with antistatic additives, such as metal fibers,
10 are not see-through and can obstruct a view of the interior of the chamber. In addition, plastic components having an antistatic surface coating can tend to degrade and lose their antistatic properties over time, e.g., within about a year.

Another solution is to periodically wash a plastic holding chamber with a detergent. However, such a solution can be cumbersome. Accordingly, the need
15 remains for an improved holding chamber made of plastic, preferably clear, having inherent substantially permanent antistatic properties.

SUMMARY

By way of introduction, various preferred embodiments of an aerosol medication delivery apparatus include a holding chamber having an input end and
20 an output end and defining an interior space. In one embodiment, the holding chamber is antistatic and is made of a plastic material having a surface resistivity of less than about $10E12$ ohm/sq, and preferably between about $10E10$ and about $10E12$ ohm/sq. In one preferred embodiment, at least a portion of the holding chamber is see-through. In addition, the antistatic properties are substantially
25 permanent.

In another embodiment, a component, separate from the holding chamber, is antistatic and is made of a material having a surface resistivity of between about $10E10$ and about $10E12$ ohm/sq. The component is connected, directly or indirectly, to the holding chamber, which may or may not be antistatic. In one
30 preferred embodiment, the component is a backpiece connected to an input end of

the holding chamber. In one embodiment, the backpiece is made of an elastomeric material. In another embodiment, the component includes a mouthpiece connected to the output end of the holding chamber.

5 In other aspects, various methods are provided that include introducing an aerosol into the holding chamber at the input end thereof and inhaling the aerosol through the output end.

The various embodiments provide significant advantages over other holding chamber devices. For example, both the holding chamber and component, such as the backpiece, can be made of various plastic and/or elastomeric materials, which are relatively light weight and inexpensive to manufacture. At the same time, one or more of the holding chamber and components can be made antistatic, such that the medication particles in the aerosol are less likely to be attracted to the interior surface of the holding chamber or components, thereby providing improved consistency in the amount of medication delivered to the patient without pretreating the delivery apparatus. In addition, the plastic material can be made see-through, such that the user or caretaker can monitor and visualize the interior of the holding chamber and/or component. Moreover, the antistatic properties are substantially permanent, such that they do not degrade over time.

20 The foregoing paragraphs have been provided by way of general introduction, and are not intended to limit the scope of the following claims. The presently preferred embodiments, together with further advantages, will be best understood by reference to the following detailed description taken in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

25 FIGURE 1 is a perspective view of a first embodiment of an aerosol medication delivery system.

FIGURE 2 is an exploded perspective view of the aerosol medication delivery system shown in FIG. 1.

30 FIGURE 3 is a side cross-sectional view of an alternative embodiment of a holding chamber.

FIGURE 4 is a side cross-sectional view of an alternative embodiment of a medication delivery system.

FIGURE 5 is a side cross-sectional view of an alternative embodiment of a medication delivery system.

5 FIGURE 6 is an exploded perspective view of an alternative embodiment of a medication delivery system.

FIGURE 7 is an exploded perspective view of an alternative embodiment of a medication delivery system.

10 FIGURE 8 is an exploded perspective view of an alternative embodiment of a medication delivery system.

FIGURE 9 is an exploded perspective view of an alternative embodiment of a medication delivery system.

FIGURE 10 is a partial side view of an output end of an alternative embodiment of a holding chamber.

15 FIGURE 11 is a perspective view of one embodiment of a dry powder inhaler.

FIGURE 12 is a top view of an alternative embodiment of a dry powder inhaler.

FIGURE 13 is an end view of the dry powder inhaler shown in Fig. 12.

20 FIGURE 14 is an exploded perspective view of an alternative embodiment of a dry powder inhaler.

FIGURE 15 is a perspective view of the dry powder inhaler shown in Fig. 14.

FIGURE 16 is a side view of a nasal inhaler.

25 **DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS**

Referring to FIGS. 1, 2 and 6, one preferred embodiment of an aerosol medication delivery system 50 includes a pressurized metered dose inhaler (pMDI) holding portion 2, or dispenser (sometimes referred to as an actuator
30 boot), coupled to a chamber housing 4, otherwise referred to as a holding chamber, at an input end 6 thereof. A medication container 8, for example a pMDI canister,

is disposed in a cavity **12** formed in the dispenser, with a stem of the canister being inserted into a well **10** formed in the bottom of the dispenser. Preferably, the dispenser **2** is pivotally connected to the chamber housing **4** so that the dispenser **2** can be pivoted and translated for storage inside the chamber housing when the device is not in use. The term “medication” or “medicament” and variations thereof as used herein means any substance used in therapy, for example in the treatment of asthma.

In various alternative embodiments shown in FIGS. 3, 4 and 7-9, the apparatus includes a backpiece **22** secured to a holding chamber **20**, **90** at an input end **52**, **94** thereof. The backpiece **22**, which is preferably formed as a separate component from the holding chamber, includes an opening **24** shaped to receive a mouthpiece portion **54** of a separate pMDI dispenser **156**, which holds the container **8**. Various configurations of chamber housings and dispensers are shown in U.S. Patent Nos. 6,293,279, 5,012,803 and 5,012,804, the entire disclosures of which are hereby incorporated herein by reference. It should be understood that a holding chamber can also be used in conjunction with medication delivery containers other than a pMDI container, including for example and without limitation nasal sprayers, dry powder inhalers and nebulizer systems.

In yet another embodiment, shown in FIG. 5, the apparatus includes a holding chamber **70** that can be introduced into the inspiratory flow path of a ventilator circuit **302**. The apparatus includes a backpiece member **72**, configured with a pMDI receptacle **76**, which is connected to the input end **79** of the holding chamber. The receptacle **76** is configured as a cylindrical housing shaped to receive the pMDI container and includes a well **10** formed at the bottom of the receptacle shaped to receive a pMDI valve stem **78**. A discharge opening **80** is formed in the receptacle and communicates with the well **10**, thereby allowing the aerosol, and medication, to be introduced into an interior **32** of the holding chamber through the backpiece **72** and input end **74** of the holding chamber.

In all of the embodiments, the chamber housing **4**, **20**, **70** defines an interior space **19**, **56**, **82** and further includes an output end **14**, **58**, **84**, **94**, through

which the medication is dispensed to the user. The holding chamber **20** can have a substantially circular cross section as shown for example in FIG. 7, or the holding chamber **4** can have other shapes, for example a substantially oval cross-section as shown in FIG. 1 or an elliptical or rectangular cross-section (not shown). In

5 addition, the holding chamber **20** can have a substantially constant cross-section, for example forming a cylinder as shown in FIG. 7, or the holding chamber **20** can be tapered, such that the cross-sectional area gradually increases from the input end **92** to the output end **94** as shown in FIGS. 8 and 9, or decreases along the same path (not shown).

10 As shown in the embodiments of FIGS. 1-3 and 6-8, the output end **14**, **58**, **84**, **94** includes a downstream portion **23** that is configured with a mouthpiece **102**, **104**, **106**, **108**, **110**. The mouthpiece **102**, **106**, **108**, **110** can be formed as a separate component that is releasably secured to a main housing **21** with one or more fastening elements **25**, **27**, as shown for example in FIGS. 1, 2, and 4-8. For
15 example, the fastening elements can be configured as tabs **25** and recesses **24** in one preferred embodiment, which provides a snap-fit between the main housing **21** and the downstream portion **23**. As shown in FIG. 3, the mouthpiece **104** can alternatively be formed integrally with the housing.

The term “component” as used herein means any part, member, device, or
20 feature that forms part of the medication delivery apparatus, and includes without limitation, the chamber housing, the backpiece, the mouthpiece, the output end, various adapters, baffle members, actuators, valve assemblies, tubes or conduits, masks, and the like, and portions thereof, which are incorporated into the system. The terms “connected” and “secured,” and variations thereof, as used herein,
25 means that one or more members are associated or coupled to each other, either directly or indirectly (for example with intervening members).

In one alternative embodiment, shown in FIG. 10, an adapter **30** includes an input end **32** configured as an insert portion that is fitted in an opening formed in an output end **64** of a chamber housing **66**. Conversely, the input end **32** can be
30 fitted over or around an end portion of the chamber housing. In one embodiment, the adapter includes a narrow orifice, as disclosed for example in U.S. Provisional

Patent Application S/N 60/377,528, filed May 3, 2002 and entitled "Aerosol Medication Delivery Apparatus With Narrow Orifice," the entire disclosure of which is hereby incorporated herein by reference. The adapter further includes an output end **34** that, in one preferred embodiment, is shaped to be received in the mouth of the user. For example, the output end **34** can have an outer circular cross-section, or it can be elliptical, oval, obround or any other shaped suitable for insertion into the mouth of the user. Alternatively, an additional mouthpiece (not shown) can be fitted in or around the output end.

In yet another alternative embodiment, shown in FIG. 9, a mask **160** is fitted in or around, i.e. a connector member **300**, secured to the output end of the holding chamber. The mask **160** is shaped to be disposed over the face, preferably including the mouth and nose, of the user. In yet another alternative embodiment, a nasal applicator (not shown), provided for example with prongs, can be fitted into or around the output end. In yet another embodiment, a patient interface element, such as an endotracheal tube, can be fitted to one or more of the output end of the holding chamber or adapter.

In all of the embodiments, shown for example in FIGS. 1-9, the output end **14**, **58**, **84**, **94** of the holding chamber **4**, **20**, **70**, **90** can be configured with a baffle member **200**. The baffle member **200** is preferably curved, and can have one or more concave or convex surfaces facing toward and away from the input end of the holding chamber. Of course, it should be understood that the baffle member **200** can be flat, or have other non-curved shapes. In addition, it should be understood that the apparatus can be configured without a baffle formed at the output end of the holding chamber.

As shown in FIGS. 6-9, the output end of the holding chamber can be configured with one or both of an inhalation and exhalation valve **220**. In one embodiment, shown in FIG. 7, the valve **220** includes a central open area **224** having a peripheral edge portion **226** that seats on a valve seat formed on a center baffle portion **228** of the baffle member **200**. The valve is displaced from the seat during inhalation. An outer peripheral portion **230** of the valve is seated on a second valve seat and is displaced therefrom during exhalation. Of course, it

should be understood that other valve configurations, such as a duckbill valve, can also be used.

The valve member **220** is preferably made of a flexible material, including for example and without limitation a silicone, a thermoplastic elastomer, rubber,
 5 Ethylene-Propylene-Diene-Monomer (EPDM) or Berfluodelaastomers (FFKN).

Preferably, the adapter **30** and the chamber housing **4, 20, 70, 90** are made of a hard antistatic plastic, for example by injection molding. Typically, plastics have a surface resistivity of greater than $10E12$ ohm/sq. Antistatic materials have a surface resistivity of between about $10E10$ ohm/sq and about $10E12$ ohm/sq.
 10 Static dissipative materials have a surface resistivity of between about $10E6$ ohm/sq. and about $10E12$ ohm/sq. Conductive materials have a surface resistivity of between about $10E1$ ohm/sq and about $10E6$ ohm/sq. Metals typically have a surface resistivity of between about $10E-1$ to about $10E-5$ ohm/sq. Surface resistivity as set forth herein is measured pursuant to ASTM test D257.

15 In various embodiments, the chamber housing **4, 20, 70, 90**, adapter **30**, mouthpiece **102, 106, 108, 110**, and/or backpiece **22, 72** are made of one or more of a polypropylene, polycarbonate, polystyrene, nylon, ABS, high density polyethylene (HDPE), acetal, PBT, PETG, various thermoplastic elastomers, and/or combinations thereof. For example, the components can be made of
 20 various PermaStat® compounds available from the RTP Company, having a place of business at 580 East Front Street, Winona, Minnesota, 55987. Of course, it should be understood that materials other than PermaStat® compounds are suitable. In any event, the materials preferably have a surface resistivity of less than about $10E12$ ohm/sq, more preferably between about $10E1$ and about $10E12$
 25 ohm/sq, more preferably between about $10E6$ and about $10E12$ ohm/sq, more preferably between about $10E10$ and about $10E12$ ohm/sq, and most preferably between about $10E10$ and about $10E11$ ohm/sq.

In various exemplary embodiments, and without limitation, the chamber housing **4, 20, 70, 90**, adapter **30**, mouthpiece **102, 106, 108, 110**, and
 30 backpiece **22, 72** can be made from one or more of a PermaStat® 100 series polypropylene material, a PermaStat® 200 series Nylon (PA6, PA6/6 or P12)

material, a PermaStat® 300 series polycarbonate material, a PermaStat® 400 series polystyrene (PS) material, a PermaStat® 600 series Acrylonitrile Butadiene Styrene (ABS) material, a PermaStat® 700 series high density polyethylene (HDPE) material, a PermaStat® 800 series Acetal (POM) material, a PermaStat® 1000 series polybutylene Terephthalate (PBT) material, a PermaStat® 1100 series polyethylene Terephthalate Glycol modified (PETG) material, a PermaStat® 1200 series polyurethane thermoplastic elastomer material, a PermaStat® 1500 series polyester thermoplastic elastomer, a PermaStat® 1800 series acrylic (PMMA) material, a PermaStat® 2500 series polycarbonate/ABS alloy material, a PermaStat® 2700 series Styrenic thermoplastic elastomer (TES) material, a PermaStat® 2800 series Olefinic thermoplastic elastomer (TEO) materials, or a PermaStat® 4700 series polytrimethylene Terephthalate (PTT) material, all being permanently anti-static and having a surface resistivity between about $10E10$ and about $10E11$ ohms/sq with electrical shock discharge (ESD) protection.

PermaStat® compounds are colorable and retain the transparency of the host resin. Such compounds are further free of carbon black, and are non-sloughing, meaning they do not release conductive contaminants. The compounds are further formulated to meet MIL-PRF-81705D static decay requirements. Since the compound is compounded into the molded material, the component is more robust and can function in all humidity levels. The term “plastic” as used herein includes thermoplastic elastomer materials.

Preferably, the chamber housing **4**, **20**, **70**, mouthpiece **102**, **106**, **108**, **110** and adapter **30** are made of an RTP 199 X 95281 S Nat/Clear, available from the RTP Company. Another suitable material is the RTP 199 X 95281 U Nat/Clear material, which is a high temperature material, also available from RTP Company.

Preferably, the backpiece **22**, **72** is made of one or more of the elastomeric materials, or other elastomers available from RTP, including for example the RTP 1200 series Polyurethane elastomers, the 1500 series copolyester elastomers, the 2700 series styrenic elastomers and/or the 2800 series olefinic elastomers. It should be understood that the backpiece **22**, **72** also can be made of non-

elastomeric materials. In addition, it should be understood that the holding chamber **4, 20, 70, 90**, and various components, including without limitation, the adapter, mouthpiece and backpiece can be made of materials that are not antistatic, having for example surface resistivities of greater than $10E12$ ohm/sq. Therefore, for example, the delivery apparatus may be configured with only the holding chamber **4, 20, 70, 90** being antistatic, only one or more of the various components being antistatic, such as the backpiece, mouthpiece and/or adapter but not the holding chamber, or all of the components including the holding chamber being antistatic.

10 Preferably, the antistatic material is substantially clear, such that at least a portion of the various components, including without limitation the holding chamber, backpiece, mouthpiece and adapters, are see-through such that the user can monitor the interior of the delivery apparatus. In this way, the term "clear" simply means see-through, and includes materials that are completely transparent, 15 as well as materials that are opaque or shaded, so long as an object is visible on the other side of the material. For example, by providing one or more see-through components, various visual flow indicators can be seen. Such visual indicators are shown for example in U.S. Provisional Application 60/382,227, filed May 21, 2002, the entire disclosure of which is hereby incorporated herein by reference. In 20 addition, the antistatic properties associated with various afore-described materials are substantially permanent, and will not dissipate over time.

In other embodiments, the holding chamber **4, 20, 70, 90**, and other components, including for example and without limitation the adapter **30**, mouthpiece **102, 106, 108, 110** and backpiece **22, 72**, are made of various 25 thermoplastics using various levels of additives, including without limitation, stainless steel fibers, carbon fibers and carbon powder. It should be understood that an antistatic coating also can be applied to the chamber housing and components, such as the adapter, mouthpiece and backpiece to achieve the desired resistivities.

30 In operation, the user actuates the dispenser **2, 156** or other device, so as to discharge a medication, preferably in aerosol form, through the input end **6, 52, 74**

and introduce the medication into the interior space **19, 56, 82** of the holding chamber **4, 20, 70, 90**, or chamber housing. The medication is thereafter delivered to the user, for example by inhalation through the output end **14, 58, 84** of the holding chamber, by way of one or more of a mouthpiece, mask or endotracheal tube. The antistatic properties of the holding chamber and backpiece reduce the likelihood that the medication particles will be attracted thereto.

Referring to FIGS. 10-15, exemplary powder medication delivery systems, or dry powder inhalers **400** are shown. Each inhaler **400** includes a holding chamber **402** and a mouthpiece **408**. The inhaler shown in FIGS. 14 and 15, further includes a visual flow indicator **412** mounted within a viewing port area **410**. The flow indicator moves within the port area to provide a visual cue to the patient or caretaker that the inhalation flow is adequate to properly administer the medication to the patient. Various aspects of the inhaler are further disclosed and shown in U.S. Provisional Patent Application S/N 60/382,227, filed May 21, 2002, the entire disclosure of which is hereby incorporated herein by reference.

Referring to the inhaler in FIGS. 11-13, the inhaler **400** includes a holding chamber **402** and a mouthpiece **408**, which can be separate from (FIG. 11) or integral with (FIGS. 12-13) the holding chamber. The inhaler further includes a medicament holding cassette **414**, which has a plurality of holding portions **416** that hold a plurality of dose of medication, each of which can be subsequently exposed to the interior of the holding chamber. One or more air entry passageways **418** are directed at the holding portions **416** and fluidize the medication within the holding chamber, wherein it can be subsequently inhaled through the mouthpiece. Various aspects of the inhaler are further disclosed and shown in U.S. Patent No. 6,116,239, the entire disclosure of which is hereby incorporated herein by reference.

It should be understood that any or all of the various components of the inhalers **400**, including the holding chambers **402**, mouthpieces **408**, air intake passageways **418**, cassettes **414**, and/or holding portions **416**, can be made of one or more of the various antistatic materials described herein.

Various nasal inhalers **500**, an example of which is shown in FIG. 16, may also include one or more of a holding chamber **502** having an input end **510** and an output end formed as an outlet **506**, an actuator (not shown) and an adapter **504**, one or more of which may be made of the various antistatic materials described herein. One or more inlet valves **508** may be provided in the holding chamber **502**. Various exemplary nasal inhalers and applicators are disclosed for example in U.S. Patent Application No. 09/834,037, filed April 11, 2001, and U.S. Patent Application No. 10/121,931, filed April 12, 2002, the entire disclosures of which is hereby incorporated herein by reference.

Although the present invention has been described with reference to preferred embodiments, those skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention. As such, it is intended that the foregoing detailed description be regarded as illustrative rather than limiting and that it is the appended claims, including all equivalents thereof, which are intended to define the scope of the invention.